

In the United States Patent and Trademark Office

Applicant(s): Tuan Nguyen

Title: "Improved Collet Assembly and Manufacturing Process"

Docket No.
NGU1-A94A

Patent Application Cover Sheet

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Sir:

Please file the following enclosed patent application papers:

Specification, Claims and Abstract: No. of Sheets 19

10 Sheets of Formal Drawing.

Declaration.

Small Entity Declaration Of Inventor

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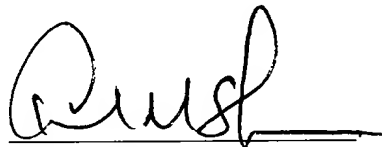
A check in the amount of \$ 395.00 to cover the filing fee as calculated below:

FOR:	# FILED	# EXTRA
BASIC FEE		
TOTAL CLAIMS	-20 =	0
INDEPENDENT CLAIMS	-3 =	0
MULTIPLE DEPENDENT CLAIMS PRESENTED		

SMALL ENTITY	
RATE	FEE
	\$ 395 00
x \$ 11.00	\$ <u>00</u>
x \$ 40 00	\$ <u>00</u>
+ \$ 130.00	\$ <u>00</u>
TOTAL	\$ <u>395 00</u>

OTHER THAN A SMALL ENTITY	
RATE	FEE
	\$ 770 00
x \$ 22.00	\$ <u>00</u>
x \$ 80 00	\$ <u>00</u>
+ \$ 260 00	\$ <u>00</u>
TOTAL	\$ <u>00</u>

Very respectfully,



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PATENT APPLICATION

NGU1-A94A

IMPROVED COLLET ASSEMBLY AND MANUFACTURING PROCESS

Inventor: Tuan Nguyen

Fountain Valley, CA; U.S.A.

IMPROVED COLLET ASSEMBLY AND MANUFACTURING PROCESS

This application is a continuation-in-part of U.S. Patent Application Serial No. 08/893,273 filed July 15, 1997, now pending.

BACKGROUND OF THE INVENTION

5 1. Field of the Invention

This invention relates generally to collets or chucks for grasping rotating tool bit shafts and, more specifically, to an Improved Collet Assembly and Manufacturing Process.

10 2. Description of Related Art

Figure 1 is a perspective view of a conventional dental handpiece 10 within which the device of the present invention might be used. Dental handpieces 10 are used to operate “burrs”, or rotating cutting tools, similar to conventional drill or router bits, for cutting or grinding teeth or dental appliances. The typical handpiece 10 is designed to receive
15 compressed air for its operation from a dentist’s chair. These handpieces 10 are available in a variety of shapes and sizes, depending upon their purpose and/or application. As depicted, the handpiece 10 comprises a handle 12 and a head 14. A “canister assembly” is housed within the head 14. The “canister assembly” comprises, essentially, a compressed air-

powered motor with a removable tool shaft 16 held therein. The tool shaft 16 in a typical handpiece 10 is removable so that different burrs or bits may be used so long as they have the same size tool shaft 16. The tool shaft 16 is held within the canister assembly by the “collet assembly”.

5 Figure 1a depicts a typical prior art collet assembly 60. The state of the prior art collet for dental handpieces comprises a tapered portion 62 that further has an axial bore 64 drilled into it that is configured to receive a burr or bit. The burr or bit is held in place by a pair of free-floating wedges 66 that ride in slots 68 formed around the circumference of the tapered portion 62. Once a burr or bit is inserted into this prior collet 60, the wedges 66 are
10 biased towards the shaft to hold the shaft in place both axially and rotationally.

 There are at two least problems with this prior collet design. First, there are significant manufacturing difficulties in this design. Since the typical burr or bit is approximately 0.06 inches in diameter, the wedges and slots must be extremely small - on the order of 0.01 inches wide and 0.1 inches long. Because of the high speed of rotation of the
15 shaft in operation, the shaft must be balanced to high precision. This, in turn necessitates extremely tight tolerances for the wedges and slots. While these tolerances are attainable, it adds considerable cost to the manufacturing process. What is needed is a collet that is precision balanced, but does not require extraordinarily tight manufacturing tolerances.

 The second deficiency with the prior design as shown in Figure 1a is the lack
20 of self-centering capability. Because the collet is fairly thin-walled, there is a limit to the number of slots that can be cut through it before its structural integrity is compromised. The

5 collet that self-centers the shaft held therein.

SUMMARY OF THE INVENTION

In light of the aforementioned problems associated with the prior devices, it is an object of the present invention to provide an Improved Collet Assembly and Manufacturing Process. It is a further object that the improved collet of the present invention include a spindle, a center portion comprising several tabs and a collar. It is an object that the collet be manufactured from a single piece of stock, and as such, that the tabs are formed from this unitary piece. The collet is inserted into a collet housing that is further defined by a bore in its center. It is an object of this invention that the collet housing bore is further defined by a sloped portion that cooperates with the tabs formed on the collet to restrain a burr shaft from rotational or axial movement. It is a still further object that the collet assembly of the present invention provide improved balance and therefore wear properties over previous collet assembly.

BRIEF DESCRIPTION OF THE DRAWINGS

The objects and features of the present invention, which are believed to be novel, are set forth with particularity in the appended claims. The present invention, both as to its organization and manner of operation, together with further objects and advantages, may best be understood by reference to the following description, taken in connection with the accompanying drawings, of which:

Figure 1 is a perspective view of a dental handpiece;

Figure 1a is a perspective view of a typical prior collet assembly;

Figure 2 is a side view of the head of a dental handpiece;

10 Figure 3 is a cutaway side view of a preferred canister assembly of the present invention;

Figure 4 is a partial cutaway side view of a preferred collet assembly of the present invention;

Figure 5 is a pair of partial cutaway side views of the collet assembly of Figure 4, depicting the restraining action of the preferred tab;

Figure 6 is a side view of a preferred collet;

Figure 7 is a partial cutaway side view of the collet of Figure 6, depicting the preferred cross-section of the collet;

Figure 8 is a side view of an alternative preferred collet of the present invention;

Figures 10A and 10B are partial cutaway side views depicting the novel manufacturing process for making the collet of Figures 8 and 9;

5

Figure 12 is a partial cutaway side view of the alternative strut of the collet of Figures 8 through 10.

DETAILED DESCRIPTION
OF THE PREFERRED EMBODIMENTS

The following description is provided to enable any person skilled in the art to make and use the invention and sets forth the best modes contemplated by the inventor of carrying out his invention. Various modifications, however, will remain readily apparent to those skilled in the art, since the generic principles of the present invention have been defined herein specifically to provide an Improved Collet Assembly and Manufacturing Process.

The present invention can best be understood by initial consideration of Figure 2. Figure 2 is a side view of the head 18 of a dental handpiece (see Figure 1). The head 18 houses, among other things, the collet assembly 20. The collet assembly 20 is configured to accept a tool shaft 16 and restrain it from rotational or axial motion with respect to the collet assembly 20. In order to remove the tool shaft 16, one need simply press on the release button 22 and pull axially (away from the collet assembly 20) on the tool shaft 16.

Now turning to Figure 3, one may better understand the unique attributes of the present invention. Figure 3 is a cutaway side view of a preferred canister assembly 24 of the present invention. The canister assembly 24 typically is inserted into the head after first unscrewing the release button (see Figure 2). The canister assembly 24 comprises a canister housing 26 within which the collet assembly 28 rotates. The collet assembly 28 rotates on a pair of bearing assemblies 30 that are typically pressed onto the collet assembly 28 and then are each sealed to the canister housing 26 by an O-ring 32. These bearing assemblies 30 and O-rings 32 are in use in conventional canister assemblies. The collet assembly 28 is caused

to rotate when compressed air is directed across the turbine 34. The turbine 34 is simply a single-stage fan in its. As describe above, the tool shaft 16 is held in the collet assembly 28, and therefore will rotate with the collet assembly 28.

The unique collet assembly 28 of the present invention comprises a collet
5 housing 36 within which is a collet 38, a spring 40 and a retainer 42. The collet 38 is further defined by a collar 44 at its front end, and a spindle 46 at its rear end. The tool shaft 16 slides into the collet 38 through the collar 44, and is held in the collet 38 by the interference fit between the shaft 16 and the collet 38; further detail regarding this situation is found below in connection with Figures 4 - 7. The collet 36 is urged against the collet housing 34 as a result
10 of the spring 40 pressing against the collar 44. If sufficient axial force is applied to the spindle 46 to oppose the spring 40, the collet 38 will no longer be in contact with the collet housing 36. This axial force is provided by a user pressing on the release button (see Figure 2), which in turn will contact the spindle. The spring 40 and collet 38 are retained within the collet housing 36 by the retainer 42. The retainer 42 is attached to the collet housing 36,
15 preferably via an interference fit. The materials of construction of all of these elements (with the exception of the O-rings) is metal, as is well known in the art.

Figure 4 provides illumination regarding the unique collet design and the process for making it. Figure 4 is a partial cutaway side view of a preferred collet assembly of the present invention. As can be seen, the collet 38 comprises a spindle 46 and a collar 44,
20 which are connected to one another by a plurality of struts 48 (only one shown here). The collet 38 also comprises a plurality of tabs 50 (only one is shown), that are separated from the

spindle 46 by a aperture 52. The collar 44, tabs 50, struts 48 and spindle 46 form a bore 54 in the center of the collet 38. It should be noticed that the collet housing 36 is defined by a tapered portion 54 on its interior surface that is configured to interact with the tabs 50. . It should further be noticed that the tabs 50 are thicker than the struts 48, and as such, will
5 contact the tapered portion 56 before the struts 48, as the collet 38 is moved in direction "A".

Once the tool shaft 16 is inserted into the bore 54, the collet 38 is biased rearwardly in the direction of arrow "A" (see spring discussion above) until the tabs 50 are pressed against the tool shaft 16 by the tapered portion 56 of the housing. If the collet 38 is moved in the direction opposite arrow "A", the interference forces between the tapered
10 portion 56, the tabs 50, and the tool shaft 16 will be removed, and the tool shaft 16 will slip easily out of the bore 54.

A discussion of the unique process to manufacture this unique collet is now in order. First, a piece of solid metal stock is machined to have the outer surface shape and dimensions of the collet 38 depicted in Figures 3 and 4. A hole, having a diameter smaller
15 than that of the tool shaft 16 is drilled through the center of the piece from the collar 44 to the spindle 46. The apertures 52 and slots (see Figures 6 and 7) are then cut to free the tabs 50 from the struts 48. Next, the hole is reamed out to the final diameter that will permit the tool shaft 16 to be inserted. Since the tabs 50 were free-floating when the hole was reamed out, they will not be reamed (or made thinner) during this boring step, but will simply deflect out
20 of the way of the cutting tool. The result is a simple, tight tolerance, low-cost method for creating these tabs 50 that are thicker than the struts 48.

Figure 5 gives another depiction of the operation of the present invention. Figure 5 is a pair of partial cutaway side views of the collet assembly of Figure 4, depicting the restraining action of the preferred tabs 50. View A depicts the tool shaft 16 in a restrained condition inside of the collet 38. As is depicted, the tab 50 is being forced against the tool shaft 16 by the tapered portion 56 of the collet housing 36. View B depicts the tool shaft 16 in an un-restrained condition inside of the collet 38. As can be seen, the collet 38 has moved to the left in the diagram, and the tab 50 has become disengaged from the collet housing 36, thereby releasing the tool shaft 16 to be removed.

Now turning to Figure 6, we can gain an even better understanding of the improved collet 38 of the present invention. Figure 6 is a side view of a preferred collet 38. The collet 38 is preferably cylindrical in shape, and is defined by a plurality of tabs 50 and struts 48. Between each tab 50 and strut 48 is a slot 58 that connects to the bore (not shown) and separates each strut 48 from the adjacent tabs 50. Each tab 50 is further separated at one end from the spindle 46 by an aperture 52, and as such, the tabs 50 are free to deflect outwardly and inwardly, as necessary.

Figure 7 is presented to provide further detail regarding the collet 38. Figure 7 is a partial cutaway side view of the collet 38 of Figure 6, depicting the preferred cross-section of the collet along the line A-A. In the depicted embodiment, there are three struts 48, separated from the three tabs 50 by six slots 58 that penetrate from the outer surface of the collet 38 to the inner bore 54. In the depicted form, there will be an even and balanced (three-sided) force against the tool shaft (see previous figures) by the tabs 50. This balanced

force will also cause the tool shaft to be self-centered, without the need for the special manufacturing tolerances of the prior devices. These design characteristics result in well-balanced rotation.

In its preferred form, the present canister assembly 24 will sustain a collet
5 assembly 28 rotational velocity of 510 to 530 revolutions per minute, as compared to 460 RPM for a conventional unit. Furthermore, the balanced design and easy repeatability has resulted in twice the longevity of the conventional canister assembly 24.

Another alternative design for the present invention is presented by Figure 8.
Figure 8 is a side view of an alternative preferred collet 39 of the present invention. In this
10 form, the collet 39 comprises three tabs 51 and three struts 49, with the tabs 51 and struts 49 separated from one another by slots 59.

Similar to the previously-described collet 38, the alternative collet 39
comprises a spindle 46 and a collar 44, between which the tabs 51 and struts 49 extend. The
tabs 51 are separated from the spindle 46 from an aperture 53. The tabs 51, therefore, "float"
15 at one end to permit the grabbing of the tool shaft (see above) once the collet 39 is biased into the sloped edges of the collet housing (see above). As with the first-disclosed collet 38, this collet 39 is extremely well balanced. Furthermore, the presently-described collet 39 is easier to manufacture, as will be discussed below.

Now turning to Figure 9, we can see the improved simplicity with this
20 alternative collet 39. Figure 9 is a partial cutaway side view of the collet 39 of Figure 8, depicting the cross-section of the alternative collet 39. As can be seen, the struts 49 are

evenly spaced around the periphery of the collet 39, and further interweaved with a plurality of tabs 51. Because the tabs 51 are only connected at one of their ends, they freely float towards and away from the center of the collet 39. A distinction from the prior-disclosed collet 38 lies in the struts 49 – the struts 49 have uniquely configured flat faces that are
5 formed via a unique and improved and highly repeatable process that is disclosed below in connection with Figures 10A and 10B.

Figures 10A and 10B are partial cutaway side views depicting the novel manufacturing process for making the collet 39 of Figures 8 and 9. Like the previously-disclosed collet 38, for this alternative embodiment 39, a plurality of slots 59 are cut, and the
10 tabs 51 and struts 49 are cut to a common angle and surface radius. Unlike the first collet 38, however, the tabs 51 are not made to protrude outwardly from the struts 49 by first cutting the tabs 51 free before boring out the center section (see previous figures and discussion). In the present embodiment, the outer surfaces of the struts 49 are cut back to create the radial protrusion of the tabs 51. As shown in Figure 10A, the surfaces 49A and 49B are created by
15 grinding down the outer surface of the strut 49. Once ground, therefore, the surfaces 51A protrude outward radially greater than the surfaces 49A and 49B. Furthermore, the resultant angles between the outer surfaces 49A and 49B and the inner axial surface 49C are not equal to the angles between the outer surfaces 51A and the inner axial surface 51C, as described below in connection with Figures 11 and 12.

20 Figure 11 is a partial cutaway side view of the alternative tab 51 of the collet 39 of Figures 8 through 10. In this view, there can be seen an angle θ_1 between the inner

axial surface 51C and the outer surface 51A of the tab 51. This angle is preferably 4.3 degrees. In contrast, Figure 12 shows that the struts 49 are configured differently.

Figure 12 is a partial cutaway side view of the alternative strut 49 of the collet 38 of Figures 8 through 10. The preferred alternative strut 49 has an outer surface (here 49A is depicted) that is ground to an angle θ_2 between it and the inner axial surface 49C, further causing the tabs 51 to protrude and be engaged by the collet housing (see above) to hold the tool shaft firmly.

The benefit of this just-disclosed process for manufacturing is in its inherent simplicity and therefore inherent repeatability. The process is easily adaptable to Computer-Aided Manufacturing machinery to create a multitude of “perfect” collets 39 in a very short amount of time, and with virtually no need for subsequent inspection.

One should understand that while the present embodiments depict a “rear-release” collet, the present unique collet design is easily reconfigured to permit pressure on the front of the collet to release the shaft. The rear-release collet is a necessity for the dental handpiece application to prevent blood and other foreign matter from entering the open end of the collet and thereby causing the tool shaft to be jammed therein.

It should furthermore be appreciated that the present invention is readily adaptable to hold any diameter of burr or bit, if desired. In other words, a larger version of the same collet assembly would function identically to that previously-described, and with the same advantages. The difference is simply one of scale.

5 specifically described herein.

CLAIMS

What Is Claimed Is:

1. An improved collet assembly for holding a tool shaft, comprising:

a collet defined by an axial collet bore adapted to receive said shaft, and a plurality of tabs integral to said collet and adjacent to said bore; and

a collet housing including an axial housing bore adapted to receive said collet and further including a tapered portion, whereby said tabs cooperate with said tapered portion to hold said tool shaft.

2. The collet assembly of Claim 1, wherein:

said collet comprises:

a spindle;

a center portion extending from said spindle and comprising said tabs;

and

a collar adjacent to said center portion; and

wherein said axial collet bore penetrates said collar and said center portion.

3. The collet assembly of Claim 2, wherein said center portion further comprises a plurality of struts extending between said spindle and said collar.

4. The collet assembly of Claim 3, further comprising a biasing means for biasing said tabs towards said tapered portion.

5. The collet assembly of Claim 4, wherein said biasing means exerts biasing force at said collar.

said struts are further defined by inner surfaces and outer surfaces, said inner surfaces being curved convexly.

11. The collet assembly of Claim 10, wherein:

said collet defines a longitudinal axis;

said strut outer surfaces are defined by at least one flat surface defining a strut angle between said strut outer surfaces and said axis, said strut angles being substantially equal to each other; and

said tab outer surfaces are define a tab angle between said tab outer surfaces and said axis, said tab angles being substantially equal to each other; and

wherein each said tab angle is different from each said strut angle.

12. The collet assembly of Claim 11, wherein:

each said tab angle is less than each said strut angle.

13. The collet assembly of Claim 12, wherein:

each said tab angle is 4.3 degrees; and

each said strut angle is 5.0 degrees.

14. A process for manufacturing an improved collet for rotating tool shafts, comprising:

drilling an axial hole in a piece of metal stock having an axis and a circumference;

cutting a plurality of tabs and struts having outer surfaces, around said circumference;

a second grinding of said outer surfaces of said struts such that said outer surfaces of said tabs protrude further outward from said axis than said outer surfaces of said struts.

16. The process of Claim 15, wherein said second grinding results in said strut angle being greater than said tab angle.

said first grinding results in said tab angles being 4.3 degrees; and

said second grinding results in said strut angles being 5.0 degrees.

ABSTRACT OF THE DISCLOSURE

An Improved Collet Assembly and Manufacturing Process is disclosed. Also disclosed is an improved collet that includes a spindle, a center portion comprising several tabs and a collar. The disclosed collet is manufactured from a single piece of stock, and as such, the tabs are formed from this unitary piece. The disclosed collet is inserted into a collet housing that is further defined by a bore at its center. The preferred collet housing bore is further defined by a sloped portion that cooperates with the tabs formed on the collet to restrain a burr shaft from rotational or axial movement. The collet assembly of the present invention provides improved balance and therefore wear properties over previous collet assemblies. In particular, the disclosed collet assembly is well-suited for application inside a canister assembly of an air-powered dental tool handpiece.

Abstract

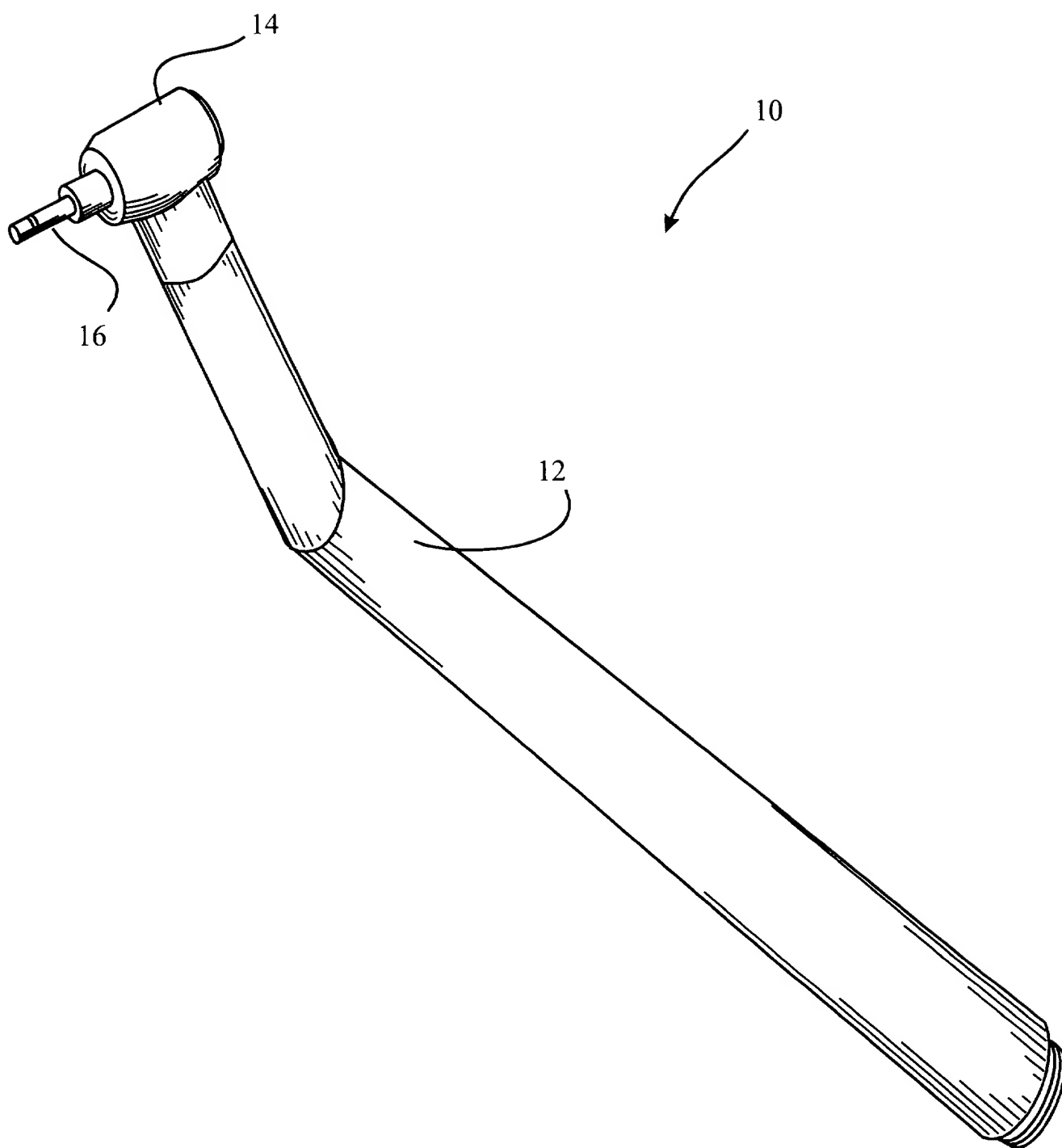


FIGURE 1

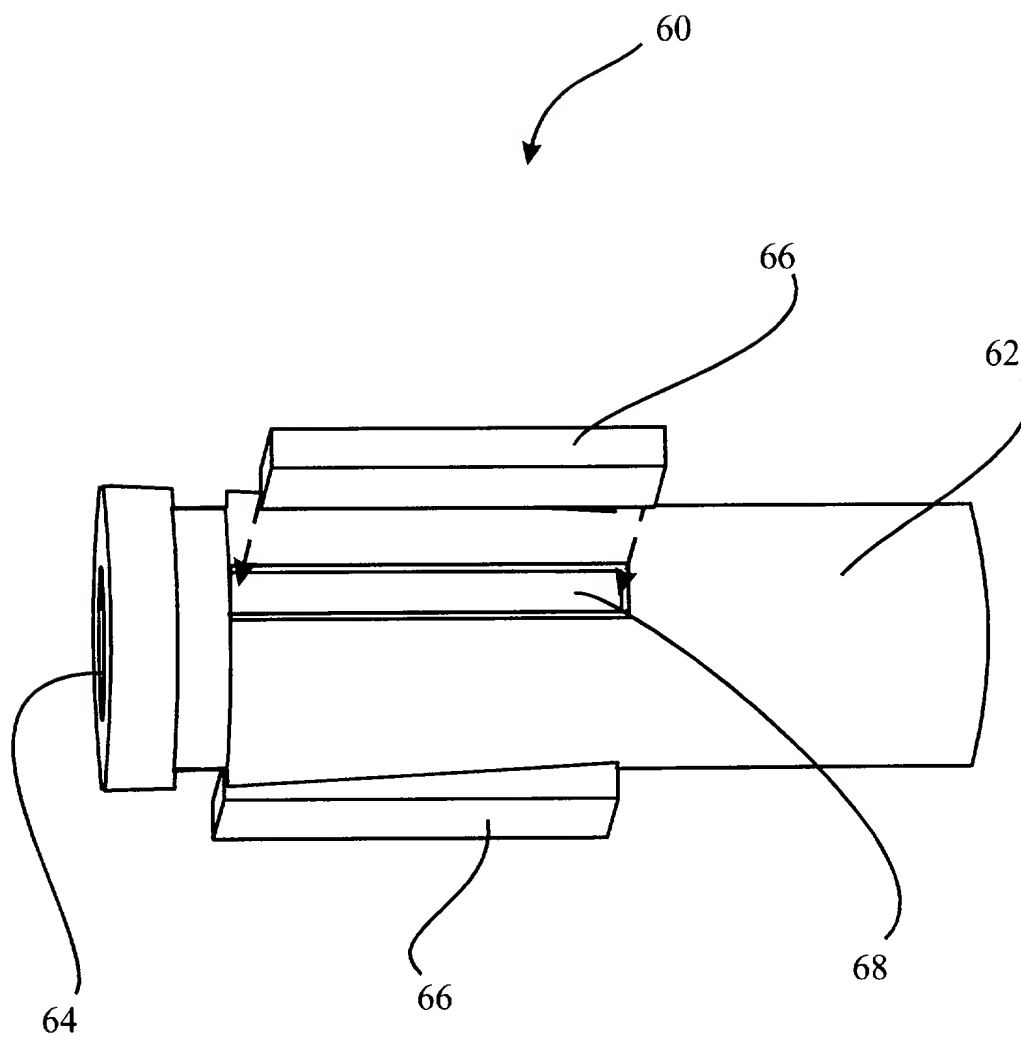


FIGURE 1a

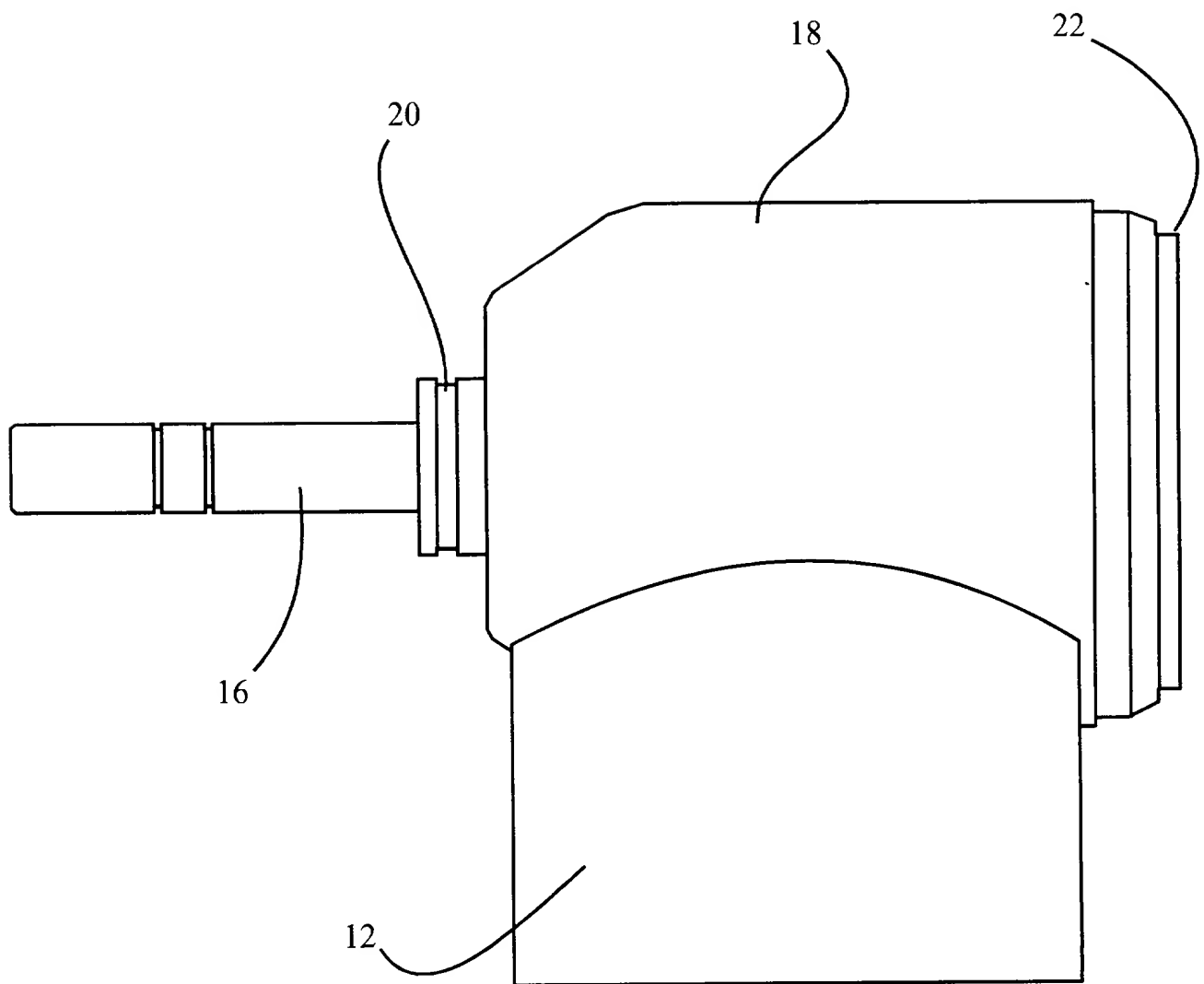
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FIGURE 2

This diagram is a cross-sectional view of a mechanical assembly. A central shaft, labeled 16, passes through the assembly. A coiled spring, labeled 38, is positioned around the shaft. The assembly is composed of several parts: a top housing (24) and a bottom housing (28). The top housing contains a component 40 with a circular feature 42. The bottom housing contains a component 30 with circular features 32. A central component 34 is located between the two housings. A bracket 26 is shown on the left side of the assembly. Other labels include 36, 44, and 46, which point to specific internal features and components.

FIGURE 3

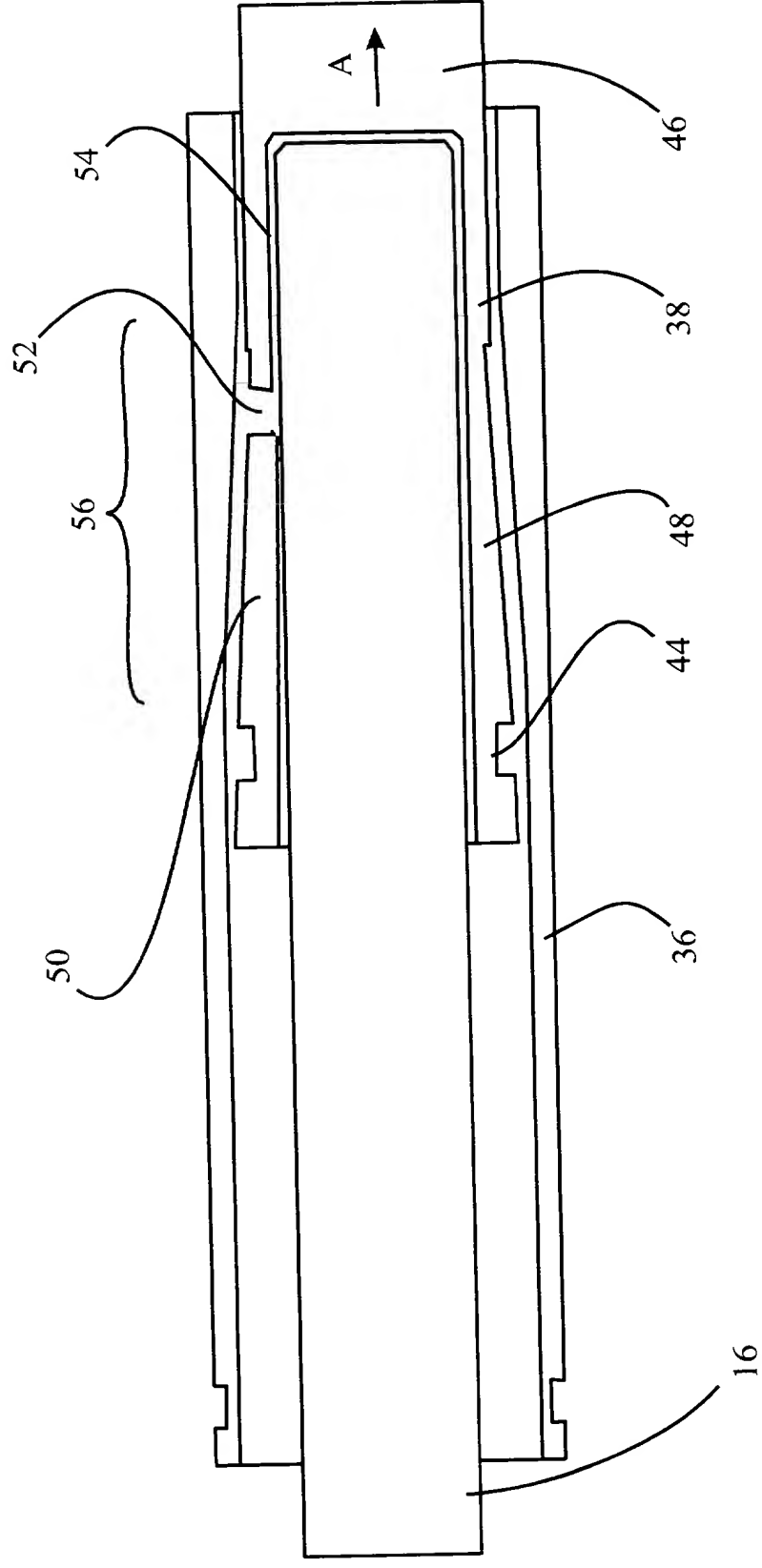


FIGURE 4

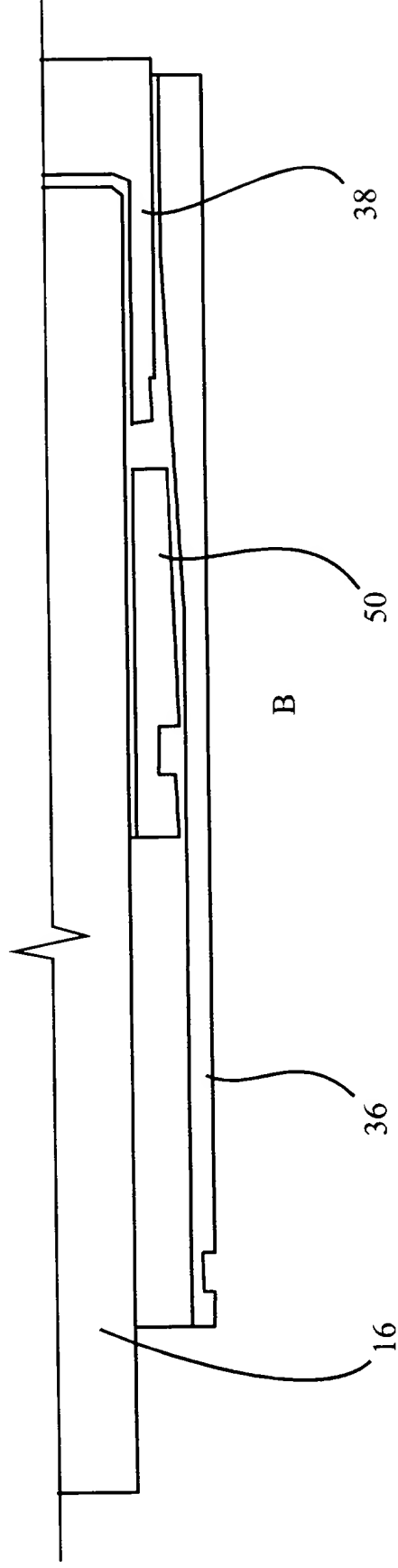
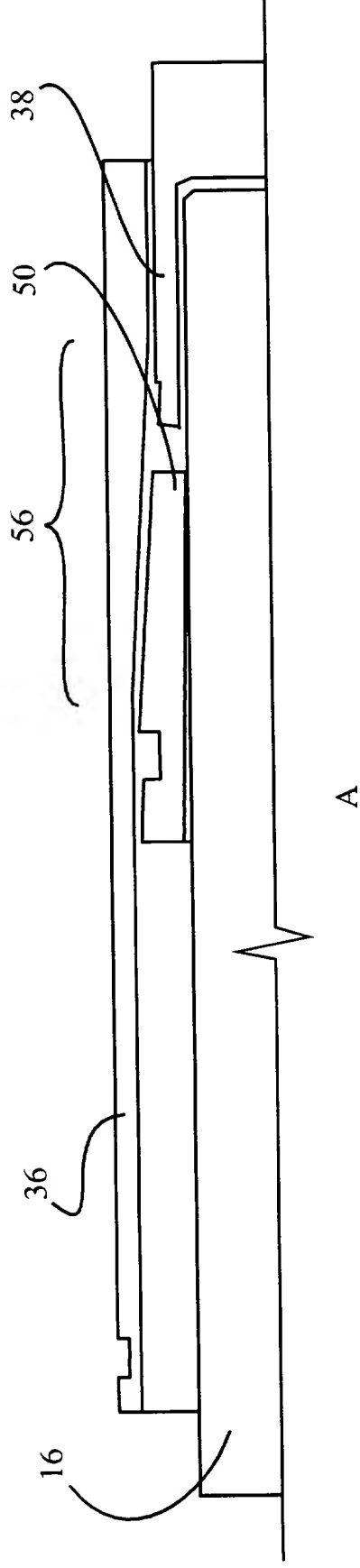


FIGURE 5

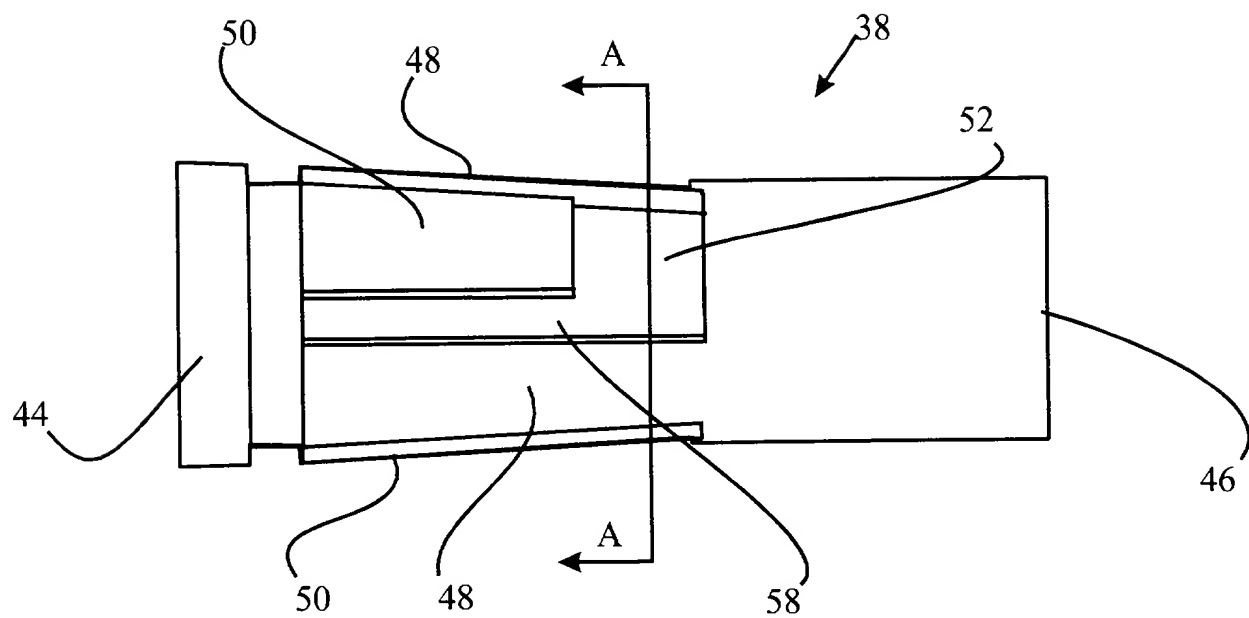


FIGURE 6

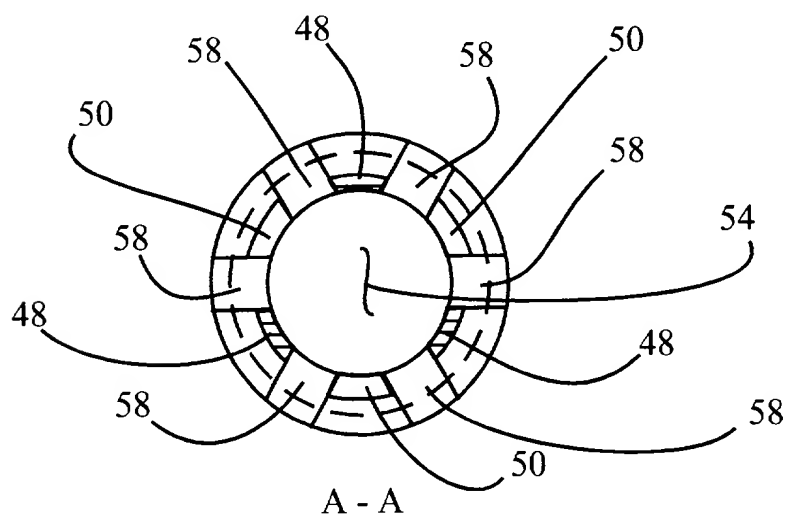


FIGURE 7

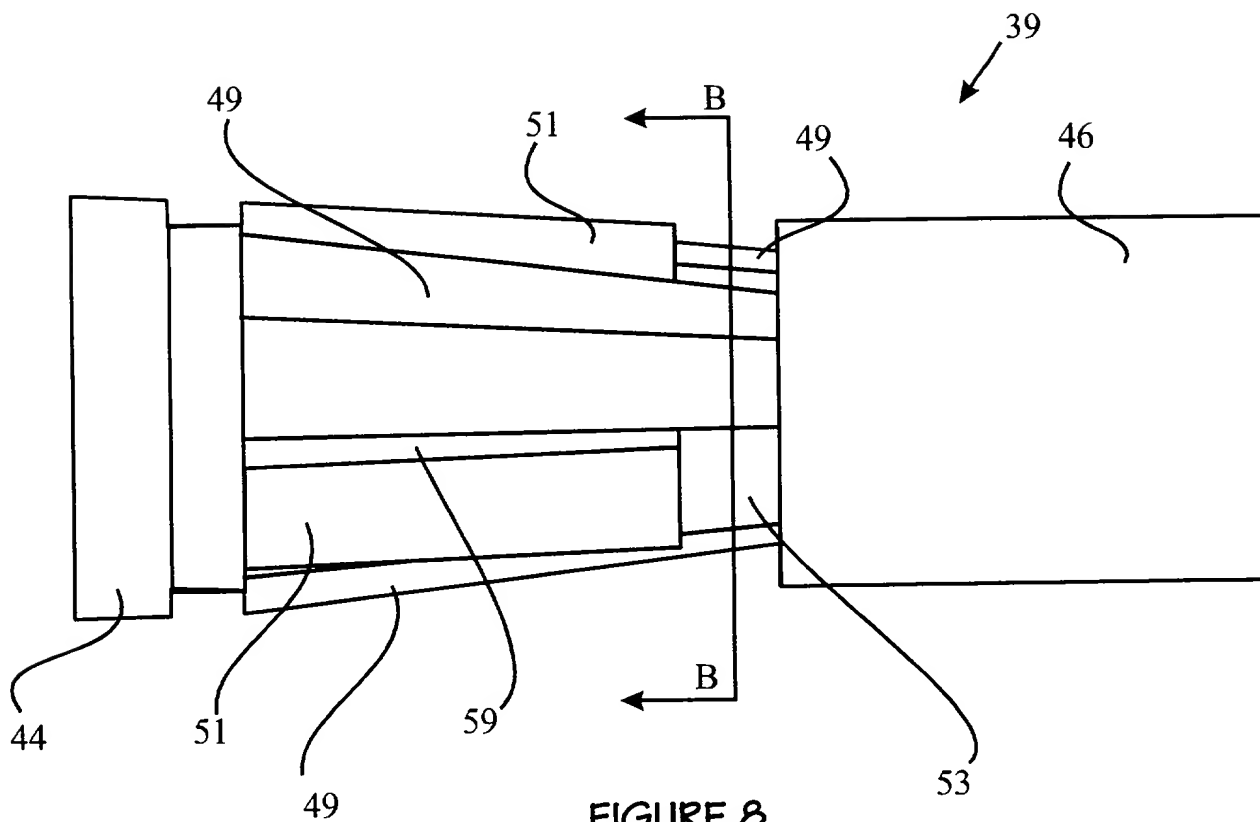


FIGURE 8

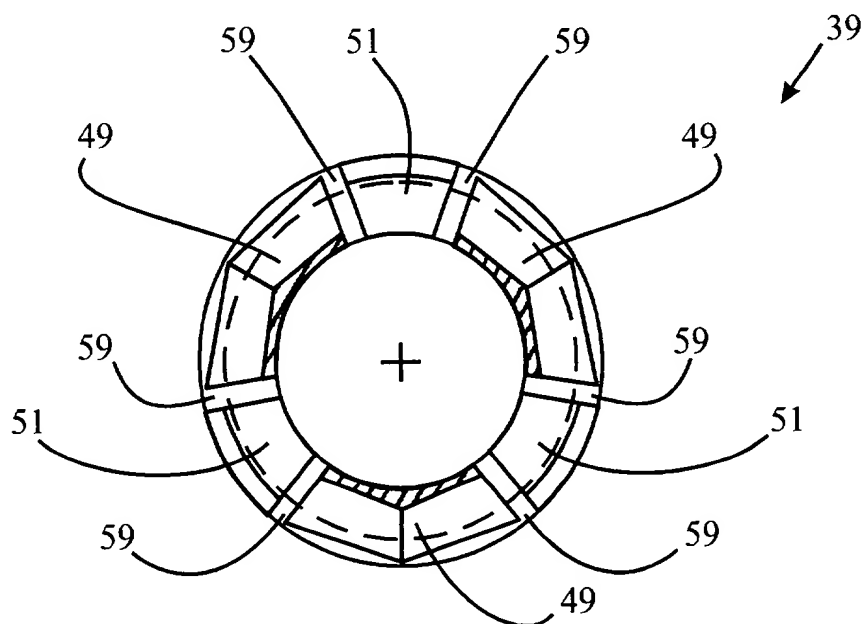


FIGURE 9

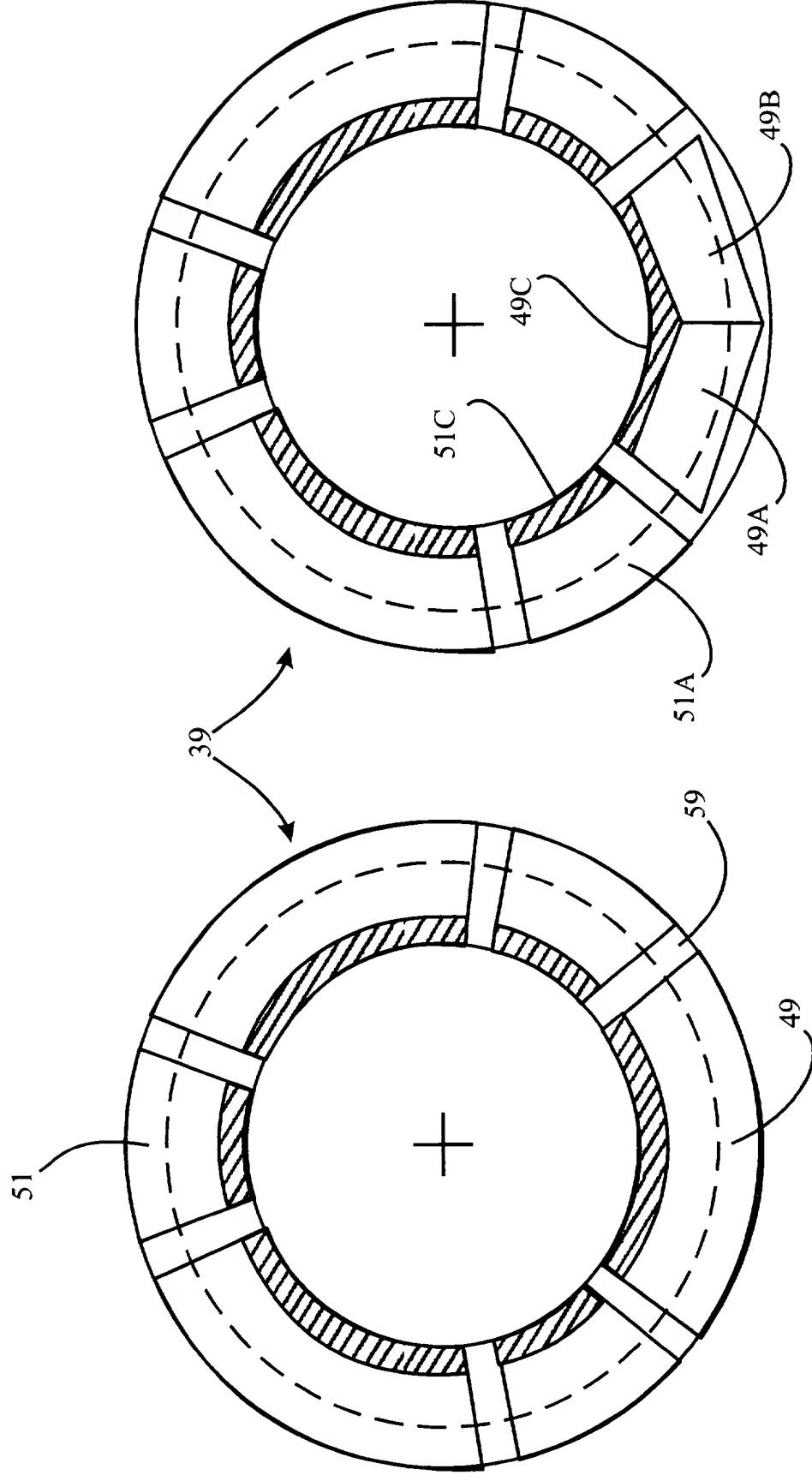


FIGURE 10B

FIGURE 10A

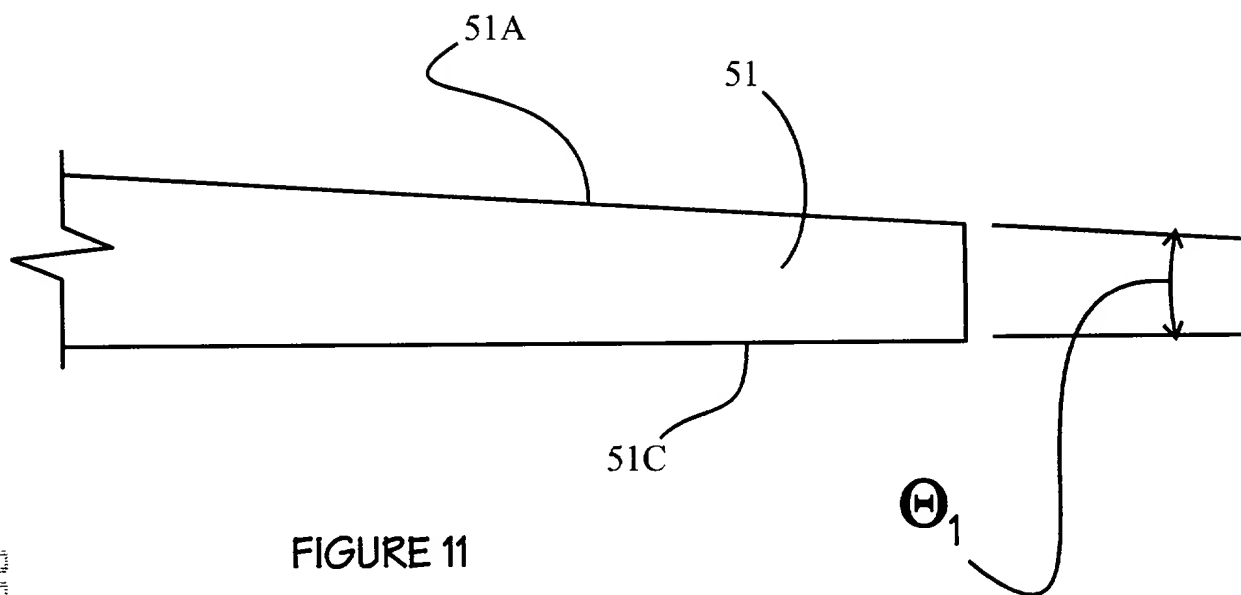


FIGURE 11

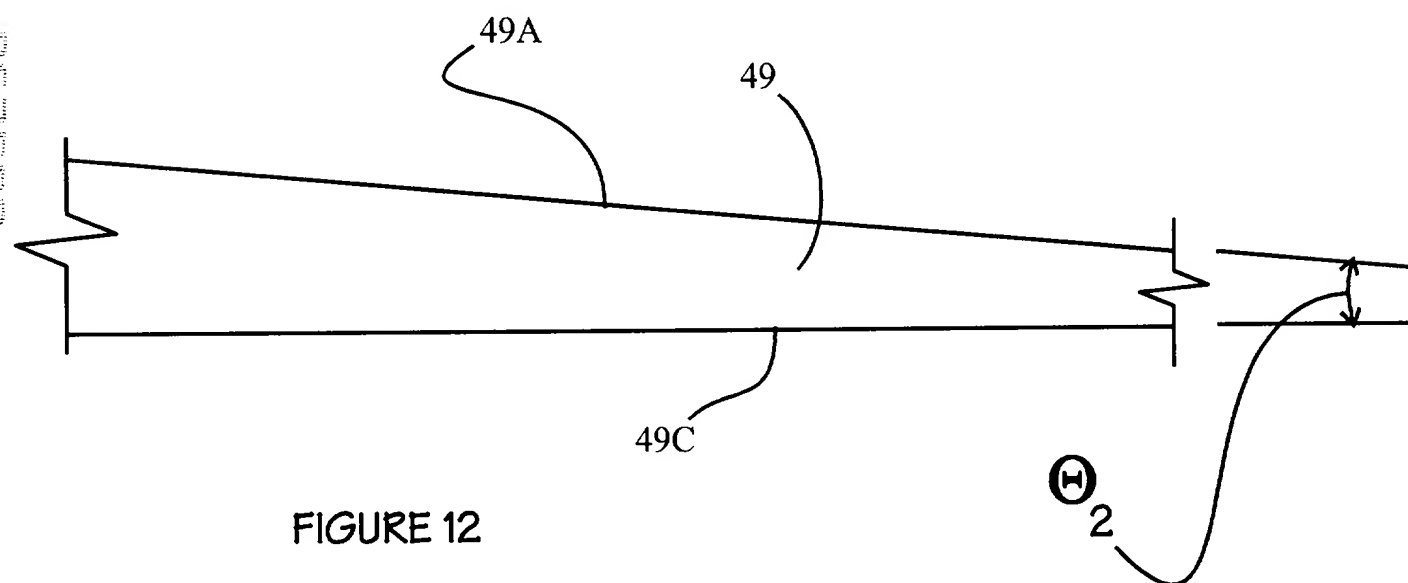


FIGURE 12

In the United States Patent and Trademark Office

Applicant: Tuan Nguyen

Title: "Improved Collet Assembly"

Docket No.
NGU1-A94A

Declaration in lieu of Oath, 37 CFR 1.68

I, Tuan Nguyen, of Fountain Valley, CA, am a citizen of U.S.A. and I declare that I believe that I am the first, original and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention described in a specification attached hereto and titled: "Improved Collet Assembly."

I have reviewed and I understand the contents of the above-identified specification and claims. I acknowledge a duty to disclose information which is material to the examination of this application in accordance with 37 CFR 1.56(a).

Priority Claim under 35 U.S.C. §120: I hereby claim the benefits under Title 35, United States Code, section 120 of U.S. Patent Application No. 08/893,273; filed: 7/15/97; Status: Now pending and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

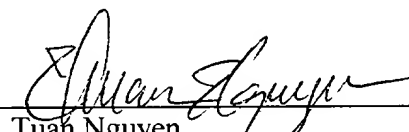
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under 18 USC 1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby authorize registered USPTO attorney #40,186, Mr. Karl M. Steins to represent all matters regarding this application and any issuing patent or patents. All correspondence should be directed to:

Send Correspondence to:	Karl M. Steins Steins & Associates 7770 Regents Road #258 San Diego, CA 92122	Direct Telephone Calls to: Karl M. Steins (619) 546-4844
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6/22/98

Date


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(714) 965-4067

In the United States Patent and Trademark Office

Applicant: Tuan Nguyen

Title: "Improved Collet Assembly"

Docket No.
NGU1-A94A

Verified Statement Claiming Small Entity Status (37 CFR 1.9(f) & 1.27(b)) -- Independent Inventor

As the below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in the application identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

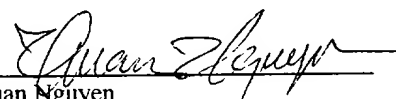
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I acknowledge the duty to file, in this application, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

6/22/98

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In the United States Patent and Trademark Office

Applicant(s): Tuan Nguyen

Title: "Improved Collet Assembly and Manufacturing Process"

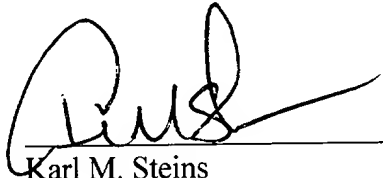
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